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**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the application of:

Roy S. BERNS et al.

Application No. 10/700,772

Filed: November 3, 2003

For: PRODUCTION OF COLOR CONVERSION  
PROFILE FOR PRINTING

) Group Art Unit: 2621

) Examiner: Not Assigned

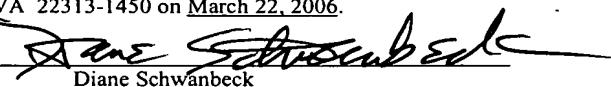
) Atty. Docket No. MIPFP062

) Date: March 22, 2006

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 22, 2006.

Signed:



Diane Schwanbeck

Diane Schwanbeck

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of the non-U.S. references of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to consider these references and to acknowledge such consideration by initialing the appropriate locations on the attached PTO Form 1449.

This Information Disclosure Statement (IDS) is being filed before the mailing date of a first Office Action on the merits. Accordingly, no fees are due in connection with the filing of this IDS. In the event it is determined that fees are due, then the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. MIPFP062).

Respectfully submitted,  
MARTINE PENILLA & GENCARELLA, LLP



Peter B. Martine  
Reg. No. 32,043

710 Lakeway Drive, Suite 200  
Sunnyvale, CA 94085  
Telephone: (408) 749-6902  
Customer Number 25920



Substitute for form 1449/PTO				Application Number	10/700,772
<b>Information Disclosure Statement By Applicant</b> (Use as many sheets as necessary)				Filing Date:	November 3, 2003
				First Named Inventor:	Roy S. BERNS
				Art Unit:	2621
				Examiner Name:	
				Attorney Docket Number:	MIPFP062
Sheet	1	of	2		

### U.S. Patent Documents

Examiner Initial	Cite No.	Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
	A	5,929,906	7/1999	Arai et al.	
	B				
	C				
	D				
	E				
	F				
	G				
	H				
	I				
	J				
	K				

### Foreign Patent Documents

Examiner Initial	Cite No.	Foreign Patent Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	Translation
						YES      NO
	L					
	M					
	N					
	O					

### Non Patent Literature Documents

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	R	Y. CHEN et al., "A Multi-Ink Color-Separation Algorithm Maximizing Color Constancy," IS&T/SID Eleventh Color Imaging Conference (November 2003), pp. 277-281.
	S	Y. ARAI et al., "Color Correction Method Based on the Spectral Reflectance Estimation using a Neural Network," The Fourth Color Imaging Conference: Color Science, Systems and Applications (November 19-22, 1996), pp. i-iii and 5-9.
	T	Y. ARAI et al., "A neural network for correcting the color shifts due to the illuminant changes," Progress in Neural Information Processing: Proceedings of the International Conference on Neural Information Processing (September 24-27, 1996), pp. 350-355.
	U	Y. ARAI et al., "Device-and-illuminant independent color reproduction using principal component analysis and neural networks," Device-Independent Color Imaging II: Proceedings of SPIE-The International Society for Optical Engineering (February 7-8, 1995), Vol. 2414, pp. i-iv and 115-122
	V	
	W	

Examiner Signature	Date Considered
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Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449/PTO

**Information Disclosure  
Statement By Applicant**  
(Use as many sheets as necessary)

Application Number	10/700,772
Filing Date:	November 3, 2003
First Named Inventor:	Roy S. BERNS
Art Unit:	2621
Examiner Name:	
Attorney Docket Number:	MIPFP062

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**U.S. Patent Documents**

Examiner Initial	Cite No.	Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
	A-2				
	B-2				
	C-2				
	D-2				
	E-2				
	F-2				
	G-2				
	H-2				
	I-2				
	J-2				
	K-2				

**Foreign Patent Documents**

Examiner Initial	Cite No.	Foreign Patent Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	Translation
						YES NO
	L-2					
	M-2					
	N-2					
	O-2					
	P-2					
	Q-2					

**Non Patent Literature Documents**

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	R-2	Y. ARAI et al., "A Color Conversion Method Using a Neural Network for a Cross-Media Color Appearance Matching," AIC Color 97, Proceedings of the 8th Congress of the International Colour Association, Vol. II (May 25-30, 1997), pp. 566-569.
	S-2	
	T-2	
	U-2	
	V-2	
	W-2	

**Examiner Signature**

**Date Considered**

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.